

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/353,407	07/15/99	LUBENOW	H 98N-004.0-US

LEON R YANKWICH ESQ
YANKWICH & ASSOCIATES
130 BISHOP ALLEN DRIVE
CAMBRIDGE MA 02139

0212/0810

NOT ASSIGNED

DATE MAILED: 1723

08/10/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.
- Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
- \$ _____ for _____ total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
- ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
- Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

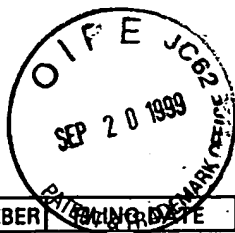
A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

10/01/1999 MPASRD 00000187 09353407
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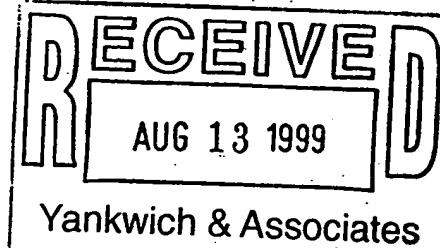
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/353,407	07/15/99	1723	\$2,820.00	QGN-004.0-US	1	107	6

LEON R YANKWICH ESQ
YANKWICH & ASSOCIATES
130 BISHOP ALLEN DRIVE
CAMBRIDGE MA 02139



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) HELGE LUBENOW, KOLN, FED REP GERMANY; KERSTIN STEINERT,
LANGENFELD, FED REP GERMANY; ROLAND FABIS, HAAN,
FED REP GERMANY; JOACHIM RIBBE, DUSSELDORF,
FED REP GERMANY; MELAINIE EMMERLICH, HILDEN,
FED REP GERMANY.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/10/99

TITLE

METHODS FOR SEPARATING PARTICULATE SUBSTRATES FROM SOLUTION WHILE
MINIMIZING PARTICLES LOSS

PRELIMINARY CLASS: 210

DATA ENTRY BY: WARREN, EFREM

TEAM: 01 DATE: 08/10/99

(See reverse for new important information)

FILE COPY

11

hnda
11/21/00

SERIAL NUMBER 09/353,407	FILING DATE 07/15/99	CLASS 210	GROUP ART UNIT 1723	ATTORNEY DOCKET NO. QGN-004.0-US
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APPLICANT

HELGE LUBENOW, KOLN, FED REP GERMANY; KERSTIN STEINERT, LANGENFELD,
FED REP GERMANY; ROLAND FABIS, HAAN, FED REP GERMANY; JOACHIM RIBBE,
DUSSELDORF, FED REP GERMANY; MELAINIE EMMERLICH, HILDEN, FED REP GERMANY.

RECEIVED

NOV 20 2000

TECH CENTER 1600/2000

CONTINUING DOMESTIC DATA***

VERIFIED
h

371 (NAT'L STAGE) DATA***

VERIFIED
N

FOREIGN APPLICATIONS***

VERIFIED
NRECEIVED
NOV 15 2000
TC 1700 MAIL ROOM

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/10/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY DEX	SHEETS DRAWING 1	TOTAL CLAIMS 107	INDEPENDENT CLAIMS 6
Verified and Acknowledged Examiner's Initials _____ Initials _____					

ADDRESS

LEON R YANKWICH ESQ
YANKWICH & ASSOCIATES
130 BISHOP ALLEN DRIVE
CAMBRIDGE MA 02139

TITLE

METHODS FOR SEPARATING PARTICULATE SUBSTRATES FROM SOLUTION WHILE
MINIMIZING PARTICLE LOSS

FILING FEE RECEIVED \$2,950	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit _____
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Receipt
FILE COPY

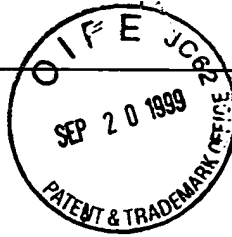
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Lubenow et al.

Serial No.: 09/353,407

Filed: July 15, 1999

Entitled: METHODS FOR SEPARATING PARTICULATE
SUBSTRATES FROM SOLUTION WHILE
MINIMIZING PARTICLE LOSS



ART UNIT: 1723

EXAMINER: not yet assigned

Attorney Ref. No. QGN-004.0 US

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

NOV 20 2000

TECH CENTER 1600/2900

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Applicants request issuance of a corrected official filing receipt for the above-identified patent application. As issued, the filing receipt incorrectly records the title by using the word "**Particles**" as highlighted in the enclosed copy. The correct word is "**Particle**".

Respectfully submitted,

Leon R. Yankwich, Reg. No. 30,237
Attorney for Applicant(s)
Yankwich & Associates
130 Bishop Allen Drive
Cambridge, MA 02139
Telephone: (617) 491-4343
Facsimile: (617) 491-8801

NOV 15 2000
TC 100 MAIL ROOM

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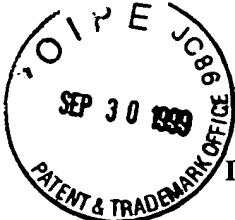
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.

9-16-99

Date

Kimberly B. Ghelo



SECTOR #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Lubenow et al.

Serial No.: 09/353,407

Filed: July 15, 1999

Entitled: METHODS FOR SEPARATING PARTICULATE
SUBSTRATES FROM SOLUTION WHILE
MINIMIZING PARTICLE LOSS

ART UNIT: 1723

EXAMINER: not yet assigned

Attorney Docket No.: QGN-004.0 US

Asst. Commissioner for Patents

BOX MISSING PARTS

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith are: [] an Assignment and cover sheet for recordation; [] a Preliminary Amendment; [X] an executed Declaration/ Power of Attorney; [] an initial Sequence Listing and computer readable form; [] a Statement Regarding Sequence Listing; [] a copy of Form PTO-1533, to be filed in the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[] A fee for additional claims is required. The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	NUMBER OF EXCESS CLAIMS	RATE	FEES DUE
TOTAL CLAIMS	107	107	0	× \$11	= 0.00
INDEPENDENT	6	6	0	× \$39	= 0.00
FIRST INTRODUCTION OF MULT. DEPENDENT CLAIM				+\$260	= 0.00
TOTAL FEES DUE					= 00.00

PAYMENT OF ADDITIONAL FEES

[] A check in the amount of \$_____ in payment of the fee for additional claims is transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

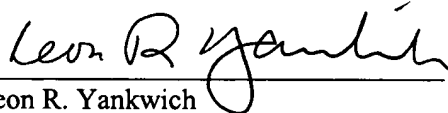
FEES UNDER 37 CFR 1.16(e) AND 1.21(h)

- [X] A check in the amount of \$ 130.00 in payment of the surcharge under 37 CFR 1.16(e) for submitting the oath or declaration after the filing date is transmitted herewith. A verified statement establishing small entity status was already submitted.
- [] A check in the amount of \$ 40.00 in payment of the assignment recordation fee under 37 CFR 1.21(h) is transmitted herewith.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR 1.16 or 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

PETITION FOR EXTENSION OF TIME

- [] Extension is requested under 37 CFR 1.136(a), and the following extension fee is applicable for the paper(s) filed herewith: [] \$55.00 for response within first month pursuant to 37 CFR 1.17(a);
[] \$190.00 for response within second month pursuant to 37 CFR 1.17(b);
[] \$450.00 for response within third month pursuant to 37 CFR 1.17(c);
[] \$700.00 for response within fourth month pursuant to 37 CFR 1.17(d).
- [] A check in the amount of [] \$55.00 [] \$190.00 [] \$450.00 [] \$700.00 in payment of the extension fee is transmitted herewith.
- [X] The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 50-0268. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,



Leon R. Yankwich
Reg. No. 30,237
Attorney for Applicants
YANKWICH & ASSOCIATES
130 Bishop Allen Drive
Cambridge, MA 02139
Telephone: (617) 491-4343
Telecopier: (617) 491-8801

CERTIFICATE OF MAILING

The undersigned hereby certifies that this correspondence is being deposited with the U.S. Postal Service as first class mail, in an envelope addressed to the Asst. Commissioner for Patents, Box MISSING PARTS, Washington, DC 20231, on the date indicated below.

9.27.99

date of mailing


Kimberly B. Chelo